



International Degrees Ltd

Financial Training Academy Courses

From the Heart of the World's Main Financial Centre

The Financial
Training
Academy Ltd

CerFSR An introduction to Financial Services Regulation

A Financial Services Training Event

Hands on, Tutor-led
training at:

Bank,
City of London

4+1 Days

Including:

- General Compliance
- Basel II
- MIFID
- Anti-Money Laundering
- Basel II Reporting Management and/or
- Solvency II



International Degrees Ltd

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LOCAL CONTACT

THE CerIFR COURSE Introduction to Financial Services Regulation

Course Director

The lecturers for this training event will be selected from amongst the faculty of lecturers provided by Risk Reward Limited UK.

Learning Objectives

Introduction to Financial Services Regulation

This 4-day programme on Financial Services Regulation will provide an understanding of the key regulatory developments relevant to a company or branch operation in the financial sector trading in the UK. This training event is offered as one 4 or 5-day unit or as individual modules, each self-contained in 1-day formats for ease of selection and combining based on one's own level of interest and requirements. The modules in this programme include:

- General Compliance
- Basel II
- MIFID
- Anti-Money Laundering

Two (2) Optional 5th Day Courses are available (please select one) to complete the compliance introduction programme:

- Basel II Reporting Management
- Solvency II

Learning Objectives

At the end of the training event, participants will be aware of:

- The basic structure of UK regulation
- The principle compliance rules generally operating within financial services

Who should Attend?

Any member of staff joining the financial services sector.

Teaching Methodology

Classroom based lectures and intensive hands-on practical workshop sessions.

Knowledge Pre-Requisites

Some basic understanding of financial services will be advantageous.



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Optional Programmes Day 5 (1 Day)

(Option 1) Basel II

Reporting Management

Practical strategies and solutions for reporting to management under the Basel II Accord.

Learning Objectives

How risk management can practically manage reporting sent up and down the management line. Risk managers will learn what they are supposed to report and to whom, as well as how to report in a succinct and compliant manner.

Who Should Attend

- Risk management staff
- Financial reporting staff
- Internal audit
- External audit

Level of Preparation Required

Basic understanding of financial terms and accounting required.

Methodology

Classroom lectures combined with workshop sessions.

(Option 2) Solvency II

This 1-day course will describe the upcoming Solvency II Directive as it applies to EU countries. The course will not only set out the contents of Solvency II but will provide guidance as to what insurance firms should be doing now to prepare themselves for this important change in financial regulation

Learning Objectives

After attending this course an attendee will be able to:

- Describe the main provisions of the existing EU regulations
- Discuss the current proposals for Solvency II
- Analyse the potential impacts on his or her firm of becoming compliant with the emerging regulations of Solvency II;

Pre-Requisite Knowledge

It is anticipated that attendees for this course will have some familiarity with the insurance industry.

Who Should Attend

- Risk management staff
- Finance staff
- Management Consultants
- Operations staff
- Compliance Officers
- Internal & External Auditors

Methodology

The course consists of lectures, illustrated by real life examples and/or practical experience

4 + 1 Days
£650 per Day* + VAT

*Promotional Price

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